



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

NICHOLAS J. SEAY  
QUARLES & BRADY LLP  
P.O. BOX 2113  
MADISON WI 53701-2113

COPY MAILED

MAY 21 2004

OFFICE OF PETITIONS

In re Application of	:	
Therese Ouellet et al	:	
Application No. 09/747,007	:	ON PETITION
Filed: December 21, 2000	:	
Attorney Docket No. 411044.90030	:	

This is a decision on the petition under 37 CFR 1.78(a)(6), filed January 5, 2004, to accept an unintentionally delayed claim under 35 U.S.C. § 119(e) for the benefit of prior-filed provisional Application No. 60/172,813, filed December 21, 1999.

The petition is **DISMISSED AS MOOT**.

A petition under 37 CFR 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000.

Petitioner has submitted on January 5, 2004, an amendment to the first sentence of the specification following the title to include a reference to prior-filed provisional Application No. 60/172,813, filed December 21, 1999.

The instant pending nonprovisional application was filed on December 21, 2000, and was pending at the time of filing of the instant petition. While a reference to the prior-filed provisional application was not included in an ADS or in the first sentence of the specification following the title, reference nevertheless was made in the declaration filed with the above-identified application.

The current procedure where a claim for priority under 37 CFR 1.78(a)(6) is not included in the first sentence of the specification or in an ADS but does appear either in the oath or declaration or a transmittal letter filed with the application and the Office notes the claim for priority, no petition will be required to accept a late claim for priority. This is because the application would have been scheduled for publication on the basis of the information concerning the claim submitted elsewhere in the application within the time period set forth in 37 CFR 1.78(a)(5). However, on the other hand, if the USPTO does not note the claim for priority to the provisional application in the oath or declaration or transmittal letter submitted with the

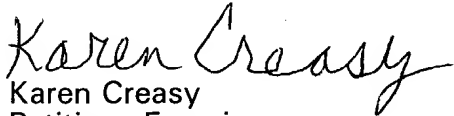
application, a petition will be required to accept a late claim for priority under 37 CFR 1.78(a)(6).<sup>1</sup> In the instant case, the Office noted the claim for priority of provisional Application No. 60/172,813 in the declaration filed with the application, as shown by its inclusion on the filing receipt.

In view of the above, the \$1330 petition fee submitted is unnecessary and will be refunded to petitioner's deposit account in due course.

Any questions concerning this decision on petition may be directed to Karen Creasy at (703)305-8859. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

---

The examiner of Technology Center AU 1636 will consider the claim under 35 U.S.C. § 119(e) and 37 CFR 1.78(a)(6) for the benefit of prior-filed provisional Application No. 60/172,813, filed on December 21, 1999.



Karen Creasy  
Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

---

<sup>1</sup> Note 66 Federal Register 67087 at 67089 (Dec. 28, 2001), effective December 28, 2001.

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>5-20-04</u>		2 Serial/Patent # <u>09/747007</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
	Filing			\$							
	Amendment			\$							
	Extension of Time			\$							
	Notice of Appeal/Appeal			\$							
	Petition		1-5-04	\$ 1330							
	Issue			\$							
	Cert of Correction/Terminal Disc.			\$							
	Maintenance			\$							
	Assignment			\$							
	Other			\$							
		7 TOTAL AMOUNT OF REFUND		\$ 1330							
		8 TO BE REFUNDED BY:									
		Treasury Check									
		✓	Credit Deposit A/C #:								
		9 <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px;">1</td><td style="width: 20px;">7</td><td style="width: 20px;">--</td><td style="width: 20px;">0</td><td style="width: 20px;">0</td><td style="width: 20px;">5</td><td style="width: 20px;">5</td></tr></table>			1	7	--	0	0	5	5
1	7	--	0	0	5	5					
10 REASON:											
	Overpayment										
	Duplicate Payment										
	No Fee Due (Explanation):										
Pet. dismissed as moot											
11 REFUND REQUESTED BY:											
TYPED/PRINTED NAME: <u>Karen Creary</u>		TITLE: <u>Pet Exm</u>									
SIGNATURE: <u>Karen Creary</u>		PHONE: <u>305-8859</u>									
OFFICE: <u>DAF</u>											
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****											
APPROVED: <u>Olivia Kelly</u>		DATE: <u>5/21/04</u>									

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

**Office of Finance  
Refund Branch  
Crystal Park One, Room 802B**